

**NOTICE OF APPEAL FROM THE PRIMARY EXAMINER TO  
THE BOARD OF PATENT APPEALS AND INTERFERENCES (Small Entity)**

Docket No.  
20062/3-CIP

In Re Application Of: **Frank et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/825,426	April 3, 2001	Oyebisi, Ojo O.	21710	3692	2062

Invention: **FINANCIAL OPTIMIZATION SYSTEM AND METHOD**

**COMMISSIONER FOR PATENTS:**

Applicant(s) hereby appeal(s) to the Board of Patent Appeals and Interferences from the decision of the Primary Examiner dated **May 3, 2007** finally rejecting Claim(s) **1-12**

Applicant is a small entity under 37 CFR 1.9 and 1.27.

☒ Applicant claims small entity status. See 37 CFR 1.27

The fee for this Notice of Appeal is: **\$255.00**

☐ A check in the amount of the fee is enclosed.

☒ The Director has already been authorized to charge fees in this application to a Deposit Account.

☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **500369**

☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

  
Signature

Dated: **October 29, 2007**

**Shelly L. Dorn, Esq. (Reg. No. 58,207)**  
**Attorney for Applicant(s)**  
**Brown Rudnick Berlack Israels LLP**  
**One Financial Center**  
**Boston, MA 02111**  
**Tel: 617-856-8145**  
**Fax: 617-856-8201**

**CERTIFICATE OF TRANSMISSION**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being electronically transmitted via EFS-Web and address to: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on **10/29/07**.

By: 

Michelle A. Aiello

cc: